APPLICATION NO. 09/826,117

INVENTION:

Hybrid Walsh encoder and decoder for CDMA

INVENTORS:

Urbain Alfred von der Embse

CROSS-REFERENCE TO RELATED APPLICATIONS

U.S. PATENT DOCUMENTS

Oct	12	2004	Popović, Branislav SE
Sept	28	2004	Dabak et. al.
Jan	6	2004	Yang et. al.
May	28	2002	Oldenwalder, Joseph P.
May	14	2002	Li et. al.
Sept	12	2002	Baum et. al.
Nov	13	2001	Honkasalo, Zhi-Chun
Sept	21	1999	Allpress et. al.
Aug	24	1999	Gilhousen et. al.
Jan	19	1999	Love et. al.
Sept	8	1998	Ramesh, Nallepilli S.
Feb	3	1998	Gilhousen et. al.
Apri	1 7	,1992	Gilhousen et. al.
May	10	1994	Gurney, David P.
	Sept Jan May May Sept Nov Sept Aug Jan Sept Feb Apri	Sept 28 Jan 6 May 28 May 14 Sept 12 Nov 13 Sept 21 Aug 24 Jan 19 Sept 8 Feb 3 April 7	May 28 2002 May 14 2002 Sept 12 2002 Nov 13 2001 Sept 21 1999 Aug 24 1999 Jan 19 1999 Sept 8 1998

OTHER PUBLICATIONS

"Multiple Access for Broadband Networks", IEEE Communications magazine July 2000 Vol. 38 No. 7

"Third Generation Mobile Systems in Europe", IEEE Personal Communications April 1998 Vol. 5 No. 2

"Golay Sequences for DS CDMA Applications", J. Seberry, B.J. Wysocki and T.A. Wysocki, University of Wollongong, NSW 2522, Australia, posted on Internet

"Transmit Diversity in 3G CDMA Systems", R.T. Derryberry, S.D. Gray, D.M. Ionescu, G. Mandyam, B. Raghothaman, Nokia Research Center, 6000 Connection Drive, Irving TX 75039, posted on Internet

"Walsh functions and their Applications", K.G. Beauchamp's book, Academic Press 1975

"Discrete Complex Walsh Sequences", J. Edmund Gibbs, Div. of Electrical Science, National Physical Laboratory, Teddington, Middlesex, England, published in 1970 Report

"Application of Walsh Functions to Complex Signals", F.R. Ohnsorg, Systems and Research Div. of Honeywell, Inc. Minneapolis, Minnesota, and Office of Control Theory and Application, NASA Electronics Research Center, Cambridge, Mass., published in NRL Conference, 1970

"The Search for Hadamard Matrices", S.W. Goulomb and L.D. Baumert, Jet Propulsion Lab., Calif. Institute of Tech., published in American Math Monthly, Vol. 70, 1963

"The Z4 linearity of Kerdock, Preparate, Goethals and Related Codes", A.R. Hammons, Jr., P.V. Kumar, A.R. Calderbank, N.J.A. Sloan and P. Sole, IEEE Trans. Inform. Theory, vol. 40, pp.301-319, 1994

"Orthogonal Binary Sequences with Wide Range of Correlation Properties", B.J. Wysocki and T.A. Wysocki, School of Electrical, Computer and Telecommunications Engineering, University of Wollongong, NSW 2522, Australia, posted on the Internet

"Transmit Diversity in 3G CDMA Systems", R.T. Derryberry, S.D. Grey, D.M. Ionescu, G. Mandyam, and B. Raghothamam, Nokia Research Center, 6000 Connection Drive, Irving TX 750 75039, posted on the Internet

"Data Modulation for a Direct Sequence Pseudonoise Spread Spectrum Communication System", A.L. Kachelmyer, MIT Lincoln Lab. Project Report IFF-7, 29 Sept. 1981

"Aufbau und Eigenschaften von quasiorthogonalen Codekollektiven", W. Herold and M. Aldinger, Archiv fur Elektronik und Uebertragunsteckhik, vol. 27, Nov.1973, pp. 463-470

APPLICATION NO. 09/826,117

INVENTION: Hybrid Walsh encoder and decoder for CDMA

INVENTORS: Urbain Alfred von der Embse

STATEMENT REGARDING FEDERALLY SPONSORED RESEARCH OR DEVELOPMENT

Not Applicable.

APPLICATION NO. 09/826,117

INVENTION: Hybrid Walsh encoder and decoder for CDMA

INVENTORS: Urbain Alfred von der Embse

INCORPORATION-BY-REFERENCE OF MATERIAL SUBMITTED ON A COMPACT DISC

Not Applicable.

LICATION NO.

09/826,117

TITLE OF INVENTION: Hybrid Walsh encoder and decoder for CDMA

INVENTOR: Urbain Alfred von der Embse

5

15

20

30

BACKGROUND OF THE INVENTION

10 I. Field of the Invention

The present invention relates to CDMA (Code Division Multiple Access) cellular telephone and wireless data communications with data rates up to multiple T1 (1.544 Mbps) and higher (>100 Mbps), and to optical CDMA with data rates in the Gbps and higher ranges. Applications are mobile, point-to-point and satellite communication networks. More specifically the present invention relates to novel Hybrid Walsh codes developed to replace current real Walsh orthogonal CDMA channelization codes.

25 II. Description of the Related Art

CDMA art is represented by the recent work on multiple access for broadband wireless communications which includes "Multiple Access for Broadband Networks", IEEE Communications magazine July 2000 Vol. 38 No. 7, "Third Generation Mobile Systems in Europe", IEEE Personal Communications April 1998 Vol. 5 No. 2 ,IS-95/IS-95A, , the IS-95/IS-95A, the 3G CDMA2000 and W-CDMA, and the listed patents.

art using real Walsh orthogonal CDMA channelization codes considers CDMA communications spread over a common frequency band for each of the communication channels. These CDMA communications channels for each of the users are defined by assigning a unique Walsh orthogonal spreading codes to each user. The Walsh code for each user spreads the user data symbols over the common frequency band. These Walsh encoded user signals are summed and re-spread over the same frequency band by one or more PN (pseudo-noise) codes, to generate the CDMA communications signal which is modulated and transmitted. communications link consists of a transmitter, propagation path, and receiver, as well as interfaces and control.

15

20

25

30

10

5

Transmitter Equation (1) describes a representative real Walsh CDMA encoding for the transmitter in FIG. 1A,1B.1C. assumed that there are N Walsh code vectors W(u) each of length N The code vector is presented by a 1xN vector W(u) = [W(u,1),...,W(u,N)] where W(u,n) is chip n of code u. The code vectors are the row vectors of the Walsh matrix W. Walsh code chip n of code vector u has the possible values W(u,n) = +/-1. Each user is assigned a unique Walsh code which allows the code vectors to be designated by the user symbols u=0,1,...,N-1 for N Walsh codes. User data symbols 2 set of complex symbols $\{Z(u), u=0, 1, ..., N-1\}$ and the set of equivalent real symbols $(R(u_R), I(u_I), u_R, u_I = 0, 1, ..., N-1)$ since Z=R+jI for all u, where j= $\sqrt{-1}$ and u_R u_I refer to different users assigned to the same real Walsh code vector u. Examples of user symbols are QPSK and OOPSK encoded complex corresponding to 4-phase and offset 4-phase symbol coding. Examples of real user symbols are PSK and DPSK encoded data corresponding to 2-phase and differential 2-phase symbol coding. Although not considered in this example, it is possible to use combinations of both complex and real data symbols.

```
Current real Walsh CDMA encoding for transmitter
                                                                      (1)
 5
           1 Real Walsh codes
                    = Walsh NxN orthogonal code matrix consisting of
                       N rows of N chip code vectors
                    = [ W(u) ] matrix of row vectors W(u)
10
                    = [W(u,n)] matrix of elements W(u,n)
             W(u) = Walsh code vector u for u=0,1,...,N-1
                     = [W(u,0), W(u,1), ..., W(u,N-1)]
                     = 1xN row vector of chips W(u, 0), ..., W(u, N-1)
             W(u,n) = Walsh code u chip n
15
                     = +/+1 possible values
           2 Data symbols
                 Z(u) = Complex data symbol for user u
                 R(u_R) = Real data symbol for user u_R assigned to the
20
                          Real (inphase) axis
                 I(u_I) = Real data symbol for user u_I assigned to th
                          Imaginary (quadrature)
           3 Walsh encoded data
25
              Complex data symbols
                 Z(u,n) = Z(u) sign\{ W(u,n) \}
                         = User u chip n Walsh encoded complex data
              Real components of complex data symbols
30
                 R(u_R, n) = R(u_R) \operatorname{sign} \{ W(u_R, n) \}
                         = User u_R code vector u chip n Walsh encoded
                           real data
                 I(u_{I},n) = R(u_{R}) \operatorname{sign} \{ W(u_{R},n) \}
                      = User u<sub>I</sub> code vector u chip n Walsh encoded
                             real data
35
```

where sign{ (o) } = Algeraic sign of "(o)" $u_R \ \text{and} \ u_I \ \text{are encoded with the same real Walsh code}$ vector u

5 4 PN scrambling by long and short PN (pseudo-noise) codes

 $P_2(n)$ = Chip n of PN long code

 $P_R(n) = Chip n of PN short code for real axis$ (inphase axis)

 $P_I(n)$ = Chip n of PN short code for imaginary axis (quadrature axis)

Complex data symbols:

Z(n) = PN scrambled real Walsh encoded data chips after summing over the users

 $= \sum_{\mathbf{u}} \mathbf{Z}(\mathbf{u}, \mathbf{n}) \mathbf{P}_{2}(\mathbf{n}) [\mathbf{P}_{R}(\mathbf{n}) + \mathbf{j} \mathbf{P}_{I}(\mathbf{n})]$

= $\sum_{\mathbf{u}} \mathbf{Z}(\mathbf{u}, \mathbf{n}) \operatorname{sign}\{P_2(\mathbf{n})\} [\operatorname{sign}\{P_R(\mathbf{n})\} + j \operatorname{sign}\{P_I(\mathbf{n})\}]$

= Real Walsh CDMA encoded complex data chips

Real omponents of complex data symbols:

20 Z(n) =

 $[\sum_{u_R} R\left(u_R,\; n\right)\;) + j\sum_{u_I} I\left(u_I,\; n\right)\;] \quad \text{sign}\{P_2\left(n\right)\} \left[\; \text{sign}\{P_R(n)\;\} + j\; \text{sign}\{P_I(n)\;\} \right]$

= Real Walsh CDMA encoded real data chips along inphase and quadrature axes

25

30

10

15

User data is encoded by the Walsh CDMA codes 3. Each of the user symbols Z(u), $R(u_R)$, $I(u_I)$ is assigned a unique real Walsh code vector. Walsh encoding of each user data symbol generates an N-chip sequence with each chip in the sequence consisting of the user data symbol with the sign of the

corresponding Walsh code chip, which means each chip = [Data
symbol] x [Sign of Walsh chip].

5

10

15

20

25

30

The Walsh encoded data symbols are summed and encoded with PN long and short spreading codes 4. These PN long codes are real and the short codes are complex consisting of independent codes along the inphase and quadrature axes, so that the individual PN codes are 2-phase with each chip equal to +/-1 which means PN encoding consists of sign changes with each sign change corresponding to the sign of the PN chip. Encoding with PN means each chip of the summed Walsh encoded data symbols has a sign change when the corresponding PN chip is -1, and remains unchanged for +1 values. This operation is described by a multiplication of each chip of the summed Walsh encoded data symbols with the sign of the PN chip.

Receiver Equation (2) describes a representative real Walsh CDMA decoding for the receiver in FIG. 3A,3B. The receiver $\{\hat{Z}(n) = \hat{R}(n) + \hat{I}(n)\}$ front end **5** provides estimates transmitted real Walsh CDMA encoded chips $\{Z(n)=R(n)+jI(n)\}$ for the complex and real data symbols. Orthogonality property 6 is expressed as a matrix product of the real Walsh code chips or equivalently as a matrix produce of the Walsh code chip numerical The 2-phase PN codes 7 have the useful decoding property that the square of each code chip is unity which is equivalent to observing that the square of each code chip numerical sign is unity. Decoding algorithms 8 perform the inverse of the signal processing for the encoding in equations (1) to recover estimates $\{\hat{Z}(u)\}$ or $\{\hat{R}(u_p), \hat{I}(u_p)\}$ of the transmitter user symbols $\{Z(u)\}$ or $\{R(u_R), I(u_I)\}$ with $Z(u)=R(u_R)+jI(u_I)$.

Current real Walsh CDMA decoding for receiver

(2)

5

- 5 Receiver front end provides estimates $\{\hat{Z}(n) = \hat{R}(n) + j\hat{I}(n)\}\}$ of the encoded transmitter chip symbols $\{Z(n) = R(n) + jI(n)\}$ for the complex and real data symbols
- 10 6 Orthogonality property of real Walsh NxN matrix W

$$\sum_{n} W(\hat{u}, n) W(n, u) = \sum_{n} \operatorname{sign} \{ W(\hat{u}, n) \} \operatorname{sign} \{ W(n, u) \}$$

$$= N \delta(\hat{u}, u)$$

where $\delta(\hat{u}, u)$ = Delta function of \hat{u} and u = 1 for \hat{u} = u

= 0 otherwise

W' = [W(n,u)]

= transpose of W

7 PN decoding property

20

15

for real PN long code

$$P_2(n) P_2(n) = sign\{P_2(n) sign\{P_2(n)\}\}$$

= 1

25 for complex PN short code

$$[P_R(n) + j P_I(n)] [P_R(n) - j P_I(n)] = 2$$

- 8 Decoding algorithm
- 30 Complex data symbols

$$\hat{Z}(u) =$$

$$\textbf{N}^{-1} \sum_{\textbf{n}} \ \hat{\textbf{Z}}(\textbf{n}) [\text{sign}\{P_2(\textbf{n})\} \ [\text{sign}\{P_R(\textbf{n})\} \text{-} j \, \text{sign}\{P_I(\textbf{n})\}] \ \text{sign}\{W(\textbf{n},\textbf{u})\}$$

= Receiver estimate of the transmitted complex
data symbol Z(u)

Real components of complex data symbols

 $\hat{R}(u_R) =$

$$Real[~\textbf{N}^{-1} \sum_{\textbf{n}} \hat{\textbf{Z}}(\textbf{n}) \left[sign\{P_{_{R}}(n)\} - j \, sign\{P_{_{I}}(n)\} \right] \, sign\{P_{_{2}}(n)\} sign\{W(n,u_{_{R}})\} \, \left]$$

= Receiver estimate of the transmitted complex data symbol $R\left(u_{R}\right)$

 $\hat{I}(u_t) =$

$$\text{Imag}[\ N^{-1} \sum_{\textbf{n}} \hat{\textbf{Z}}(\textbf{n}) [\text{sign}\{P_{R}(\textbf{n})\} - j \, \text{sign}\{P_{I}(\textbf{n})\}] \, \, \text{sign}\{P_{2}(\textbf{n})\} \, \text{sign}\{W(\textbf{n},\textbf{u}_{I})\} \, \,]$$

= Receiver estimate of the transmitted complex data symbol $I(u_I)$

15

20

25

30

FIG. 1A CDMA transmitter block diagram is representative of a current CDMA transmitter which includes an implementation of the current real Walsh CDMA channelization encoding in equations This block diagram becomes a representative implementation of the CDMA transmitter which implements the Hybrid Walsh CDMA when the current real Walsh CDMA encoding encoding replaced by the Hybrid Walsh CDMA encoding of this invention. Signal processing starts with the stream of user input data words 10 accepts these data words and performs 9. Frame processor the encoding and frame formatting, convolutional or turbo encoded, and repeated and punctured, and passes the outputs to which encodes the frame symbols into the symbol encoder 11 amplitude and phase coded symbols 12 which could be complex $\{Z(u)\}\$ or real $\{R(u_R),\ I(u_I)\}\$ depending on the application. symbols 12 are the inputs to the current real Walsh CDMA encoding 12 are real in equations (1). Inputs $\{Z(u)\}$, $\{R(u_R), I(u_I)\}$ Walsh encoded, summed over the users, and scrambled by the real long PN code and by the complex short PN code in the current real

Walsh CDMA encoder 13 to generate the complex output chips {Z(n)} 14. This encoding 13 is a representative implementation These output chips Z(n) are waveform equations (1). 15 to generate the analog complex signal z(t) which modulated is single sideband upconverted, amplified, and transmitted (Tx) by the analog front end of the transmitter 15 as the real at the carrier frequency f_0 whose amplitude waveform v(t) 16 is the real part of the complex envelope of the baseband waveform z(t) multiplied by the carrier frequency and the phase angle ϕ accounts for the phase change from the baseband signal to the transmitted signal.

5

10

15

20

25

30

FIG. 1B is a representative wireless cellular communication network application of the generalized CDMA trasmitter in FIG. 1A. FIG. 1B is a schematic layout of part of a CDMA network which depicts cells 101,102,103,104 that partition this portion of the area coverage of the network, depicts one of the users 105 located within a cell with forward and reverse communications links 106 with the cell-site base station 107, depicts the base station communication links 108 with the MSC/WSC 109, and depicts the MSC/WSC communication links with another base station 117, and with external elements with another MSC/WSC 116, 110,111,112,113,114,115. One or more base stations are assigned to each cell or multiple cells or sectors of cells depending on the application. One of the base stations ${f 109}$ in the network serves as the MSC (mobile switching center) or WSC (wireless switching center) which is the network system controller and switching and routing center that controls all of user timing, synchronization, and traffic in the network and with all external interfaces including other MSC's. External interfaces could include satellite 110, PSTN (public switched telephone network) 111, LAN (local area network) 112, PAN (personal area network) 113, UWB (ultra-wideband network) 114, and optical networks 115. As illustrated in the figure, base station 107 is the nominal

cell-site station for cells i-2, i-1, i, i+1 identified as 101,102,102,104, which means it is intended to service these cells with overlapping coverage from other base stations. The cell topology and coverage depicted in the figure are intended to be illustrative and the actual cells could be overlapping and of differing shapes. Cells can be sub-divided into sectors. Not shown are possible subdivision of the cells into sectors and/or combining the cells into sectors. Each user in a cell or sector communicates with a base station which should be the one with the strongest signal and with available capacity. When mobile users cross over to other cells and/or are near the cell boundary a soft handover scheme is employed for CDMA in which a new cellsite base station is assigned to the user while the old cell-site base station continues to service the user for as long as required by the signal strength.

5

10

15

20

25

30

35

Fig. 1C depicts a representative embodiment of the CDMA transmitter signal processing in 13,15 of FIG. 1A for the forward and reverse CDMA links 106 in FIG. 1B between the base station and the users for CDMA2000 and W-CDMA that implements the CDMA coding for synchronization, real Walsh channelization, scrambling of the data for transmission. Depicted are the principal signal processing from 13,15 in FIG. 1A that is relevant to this invention disclosure. CDMA2000 and W-CDMA use real Walsh codes 120 for channelization of the data expressed in layered format which progresses from the highest data rate for the shortest codes to the lowest data rate for the longest codes in a format referred to as OVSF (orthogonal fixed spreading This OVSF implementation of real Walsh codes factor) codes. supports a variable data rate with variable length real Walsh codes over a fixed transmission channel. Long codes are PN code sequences intended to provide separation of the cells and sectors and to provide protection against multipath. Long PN codes 122 for IST-95/IST-95A use code segments from a 42 bit maximal-length shift register code with code length (2^42-1).

The separation between code segments is sufficient to make them statistically independent. These codes can be converted to complex codes by using the code for the real axis and a delayed version of the code for the quadrature axis. Different code segments are assigned to different cells or sectors to provide statistical independence between the communications links in different cells or sectors. Short PN codes 124,125 are used for scrambling and synchronization of CDMA code chips from the real Walsh encoding of the data symbols after they are These codes include real and complex multiplied by a long code. valued segments of maximal-length shift register sequences and segments of complex Gold codes which range in length from 256 to 38,400 chips and also are used for user separation and sector separation within a cell.

15

20

25

30

35

10

5

FIG. 1C data inputs 112 in FIG. 1A to the transmitter CDMA signal processing are the inphase data symbols $R(u_R)$ quadrature data symbols $I(u_I)$ 119 of the complex data symbols $Z(u) = R(u_R) + j I(u_T)$ from the block interleaving processing in the transmitter in 12 in FIG. 1A. As described previously in Equation (1) in greater detail, a real Walsh code 120 ranging in length from N=4 to N=512 chips spreads and channelizes the data by encoding 121 the inphase and quadrature data symbols with rate R=N codes corresponding to the channel assignments of the data chips. A long PN code 122 encodes the inphase and quadrature real Walsh encoded chips 123 with a 0,1 binary code which is generated from segments of a maximal-length 42 bit shift register code for IS-95/IS-95A and an equivalent PN code for CDMA2000 and W-CDMA. Encoding is a (+/-) sign change to the chip symbols corresponding to the 0,1 code value. Long code characteristics have the PN property with quasi-orthogonal auto-correlations and crosscorrelations. The long PN code 122 can be easily converted to a complex code using different code phases and families or code segments for the inphase and quadrature axes and which means in ${\bf 4}$ P₂(n) becomes complex in Equation (1) whereupon the encoding 123 is replaced by a complex multiply operation similar to the short code complex multiply 126 and in 4 in Equation (1). This long PN code covering of the real Walsh encoded chips is followed by a short complex PN code covering in 124,125,126. Short PN include complex Gold code segments and complex complex valued segments from maximal-length shift register codes, Kasami sequences, Kerdock codes, and Golay sequences. described in 4 in Equation (1) the complex PN short code is an inphase short code 124 and a quadrature short code 125 which are statistically independent and quasi-orthogonal. This complex PN short code encodes the inphase and quadrature chips with a complex multiply operation 126 as described in 4 in Equation (1). Outputs are inphase and quadrature components of the complex chips which have been rate R=1 phase coded with both the long and short PN codes. Low pass filtering (LPF), summation (Σ) over the Walsh channels for each chip symbol, modulation of the chip symbols to generate a digital waveform, and digital-to-analog (D/A) conversion operations 127 are performed on these encoded inphase and quadrature chip symbols to generate the analog inphase x(t) signal 128 and the quadrature y(t) signal 129 which are the components of the complex signal z(t)=x(t)+jy(t) where $j=\sqrt{-1}$. This complex signal z(t) is single-sideband up-converted to an IF frequency and then up-converted by the RF frequency front end to the RF signal v(t) 133 which is defined in 16 in Single sideband up-conversion of the baseband signal FIG. **1A**. is performed by multiplication of the inphase signal x(t) with the cosine of the carrier frequency f_0 130 and the quadrature signal y(t) by the sine of the carrier frequency 131 which is a 90 degree phase shifted version of the carrier frequency, summing 132 to generate the real signal v(t) 133.

5

10

15

20

25

30

FIG. 1C depicts an embodiment of the current CDMA transmitter art and with current art signal processing changes this figure is representative of other current art CDMA

transmitter embodiments for this invention disclosure. embodiments of the CDMA transmitter include changes in the ordering of the signal processing, single channel versus multichannel real Walsh encoding, summation or combining of the Walsh channels by summation over like chip symbols, analog versus digital signal representation, baseband versus IF frequency CDMA processing, the order and placement in the signal processing thread of the Σ , LPF, and D/A signal processing operations, and the up-conversion processing. The order of the rate R=1 PN FIG. 1C can be changed since the covering multiplies in operations implemented by the multiplies are linear in phase, which means the short PN code complex multiply **124,125,126** in FIG. 1C can occur prior to the long PN code multiply 122,123 and moreover the long PN code can be complex with the real multiply 123 replaced by the equivalent complex multiply 126.

5

10

15

20

obvious to anyone skilled in the Ιt should be example implementation communications art that this 1A,1B,1C clearly defines the fundamental CDMA signal processing relevant to this invention disclosure and it is obvious that this example is representative of the other possible signal processing approaches.

implementation of the real Walsh CDMA encoding is a representative implementation of the real Walsh CDMA encoding 13 in FIG. 1A and 120,121 in FIG. 1C and in equations (1). Inputs are the user data symbols which could be complex $\{Z(u)\}$ or real $(\{R(u_R)\}, \{I(u_I)\})$ 17. For complex and real data symbols the encoding of each user by the corresponding Walsh code is described in 18 by the implementation of transferring the sign of each Walsh code chip to the user data symbol followed by a 1-to-N expander $1^{\uparrow}N$ (which is rate R=N encoding) of each data symbol into an N chip sequence using the sign transfer of the Walsh chips.

For complex data symbols {Z(u)} the sign-expander operation generates the N-chip sequence $Z(u,n) = Z(u) sign\{W(u,n)\}=$ for n=0,1,...,N-1for each user u=0,1,...,N-1. Z(u) W(u, n) Walsh encoding serves to spread each user data symbol into an orthogonally encoded chip sequence which is spread over the CDMA communications frequency band. The Walsh encoded chip sequences for each of the user data symbols are summed over the users encoding with the scrambling followed by PNsequences 21. encoding is implemented by $P_{2}(n) [P_{R}(n) + jP_{I}(n)]$ PN transferring the sign of each PN chip to the summed chip of the Walsh encoded data symbols. Output is the stream of complex CDMA 22. The switch 20 selects the encoded chips {Z(n)} appropriate signal processing path for the complex and real data symbols.

15

20

25

10

5

For real data symbols $\{R(u_R)+jI(u_I)\}$ the real and imaginary communications axis data symbols are separately Walsh encoded 18, summed 19, and then PN encoded 21 to provide orthogonality between the channels along the real and imaginary communications axes. Output is the stream of complex CDMA encoded chips $\{Z(n)\}$ 22.

It should be obvious to anyone skilled in the communications art that this example implementation in FIG. 2 clearly defines the fundamental CDMA signal processing relevant to this invention disclosure and it is obvious that this example is representative of the other possible signal processing approaches.

30 FIG. **3A** CDMA receiver block diagram is representative of a current CDMA receiver which includes an implementation of the current real Walsh CDMA decoding in equations **(2)**. This block diagram becomes a representative implementation of the CDMA receiver which implements the Hybrid Walsh CDMA decoding when 35 the current real Walsh CDMA decoding **27** is replaced by the

Hybrid Walsh CDMA decoding of this invention. FIG. 3A signal processing starts with the user transmitted wavefronts incident at the receiver antenna 23 for the n_u users $u = 1, ..., n_u \le N_c$. These wavefronts are combined by addition in the antenna to form the receive (Rx) signal $\hat{v}(t)$ at the antenna output 23 where $\hat{v}(t)$ is an estimate of the transmitted signal v(t) 16 in FIG. 1A, that is received with errors in time Δt , frequency Δf , phase $\Delta \theta$, and with an estimate $\hat{z}(t)$ of the transmitted complex baseband This received signal $\hat{v}(t)$ is 16 in FIG. 1A. signal z(t) amplified and downconverted by the analog front end 24 and then synchronized and analog-to-digital (ADC) converted 25. Outputs from the ADC are filtered and chip detected 26 by the fullband chip detector, to recover estimates $\{\hat{Z}(n) = \hat{R}(n) + j\hat{I}(n)\}$ 28 the transmitted signal which is the stream of complex CDMA encoded chips $\{Z(n)=R(n)+jI(n)\}$ 14 in FIG. 1A for both complex and real data symbols. The CDMA decoder 27 implements the algorithms in equations (2) by stripping off the PN codes and decoding the received CDMA real Walsh orthogonally encoded chips to recover estimates $\{\hat{Z}(u) = \hat{R}(u_p) + \hat{I}(u_t)\}$ 29 of the transmitted $\{Z(u) = R(u_R) + jI(u_I)\}$ 12 in FIG. 1A. user data symbols Notation introduced in FIG. 1A and 3A assumes that the user index $u=u_R=u_T$ for complex data symbols, and for real data symbols the user index u is used for counting the user pairs (u_R, u_I) of real and complex data symbols. These estimates are processed by the and the frame processor 31 to recover symbol decoder 30 estimates 32 of the transmitted user data words.

10

15

20

25

30

Fig. 3B depicts a representative embodiment of the receiver signal processing 27 in FIG. 3A for the forward and reverse CDMA links 106 in FIG. 1B between the base station and the user for CDMA2000 and W-CDMA that implements the CDMA decoding for the long and short codes, the real Walsh codes, and for recovering estimates \hat{R} , \hat{I} 148,149 of the transmitted inphase and

quadrature data symbols R 118 and I 119 in FIG. 1C. Depicted are the principal signal processing that is relevant to this invention disclosure. Signal input $\hat{v}(t)$ 134 in FIG. 3B is the received transmitted CDMA signal v(t) 16 in FIG. 1A and 133 in FIG. 1C. The signal is handed over to the inphase mixer which multiplies \hat{V} (t) by the cosine **135** of the carrier frequency f_0 followed by a low pass filtering (LPF) 137 which removes the mixing harmonics, and to the quadrature mixer which multiplies $\hat{\mathbf{v}}$ (t) by the sine **136** of the carrier frequency f_0 followed by the LPF 137 to remove the mixing harmonics. These inphase and quadrature mixers followed by the LPF perform a Hilbert transform on v(t) to down-convert the signal at frequency f_0 and to recover estimates \hat{x} , \hat{y} of the inphase component x(t) and the quadrature component y(t) of the transmitted complex baseband CDMA signal z(t)=x(t)+jy(t) in 128,129 FIG. 1C The $\hat{x}(t)$ and $\hat{y}(t)$ baseband signals are analog-to-digital (D/A) 140 converted and demodulated (demod.) to recover the transmitted inphase and quadrature The complex short PN code cover is baseband chip symbols. removed by a complex multiply 143 with the complex conjugate of the short PN code implemented by using the inphase short code 141 and the negative of the quadrature short code 142 in the complex multiply operation 143. The long PN code cover is removed by a real multiply 145 with the long code 144 implemented as (+/-) sign changes to the chip symbols since this is a binary 0,1 code. The decovered chip symbols are rate R=1/N decoded by the real Walsh decoders 146 using the real Walsh code 147 which implement the real Walsh decoding 36 in FIG. 4. Decoded output symbols are the estimates \hat{R} , \hat{I} 148,149 of the inphase data symbols R and the quadrature data symbols I from the transmitters 12 FIG. 1A and 118,119 FIG. 1C.

5

10

15

20

25

30

FIG. **3B** depicts an embodiment of the current CDMA receiver art and with current art signal processing changes this figure is representative of other current art CDMA receiver embodiments for

this invention disclosure. Other embodiments of the CDMA receiver include changes in the ordering of the signal processing, analog versus digital signal representation, down-conversion processing, baseband versus IF frequenncy CDMA processing, order and placement in the signal processing thread of the Σ , LPF, and A/D signal processing operations, and single channel versus multichannel real Walsh decoding. Code decovering is implemented as rate R=1 code multiply operations which implement the phase subtraction of the code symbols from the chip symbols. The order of the rate R=N code multiplies in FIG. 3 can be changed since the covering operations implemented by the multiplies are linear the short code complex phase, which means 141,142,143 in FIG. 3B can occur prior to the long code multiply 144,145 and moreover the long code can be complex with the real multiply 145 replaced by the equivalent complex multiply 143.

5

10

15

20

It should be obvious to anyone skilled in the communications art that this example implementation clearly defines the fundamental current CDMA signal processing relevant to this invention disclosure and it is obvious that this example is representative of the other possible signal processing approaches.

FIG. 4 real Walsh CDMA decoding is a representative implementation of the real Walsh CDMA decoding 27 in FIG. 3A, 144,145 in FIG. 3B, and in equations (2). Inputs are the received estimates of the complex CDMA encoded chips $\{\hat{Z}(n)\}$ 33. The PN scrambling code is stripped off from these chips 34 by changing the sign of each chip according to the numerical sign of the real and imaginary components of the complex conjugate of the PN code as per the decoding algorithms 8 in equations (2).

For complex data symbols 35 the real Walsh channelization coding is removed by a pulse compression operation consisting of

multiplying each received chip by the numerical sign of the corresponding Walsh chip for the user and summing the products over the N Walsh chips 36 to recover estimates $\{\hat{Z}(u)\}$ of the user complex data symbols $\{Z(u)\}$. The switch 35 selects the appropriate signal processing path for the complex and real data symbols.

5

10

15

20

25

For real data symbols 35 the next signal processing operation is the removal of the PN codes from the real and imaginary axes. This is followed by stripping off the real Walsh channelization coding by multiplying each received chip by the numerical sign of the corresponding Walsh chip for the user and summing the products over the N Walsh chips 36 to recover estimates $\{\hat{R}(u_R), \hat{I}(u_I)\}$ of the user real data symbols $\{R(u_R), I(u_I)\}$.

It should be obvious to anyone skilled in the communications art that this example implementation clearly defines the fundamental current CDMA signal processing relevant to this invention disclosure and it is obvious that this example is representative of the other possible signal processing approaches.

For cellular applications the transmitter description describes the transmission signal processing applicable to this invention for both the hub and user terminals, and the receiver describes the corresponding receiving signal processing for the hub and user terminals for applicability to this invention.

30 Complex Walsh codes have been proposed during the early work on Walsh bases and codes, based on the even and odd sequency property of the Walsh bases and their correspondence with the even cosine real components and odd sine imaginary components of the DFT (Discrete Fourier Transform). Sequency for

the Walsh is the average rate of phase rotations and is the Walsh equivalent of the frequency rotation for the Fourier and DFT bases. Walsh bases are re-ordered Hadamard bases where the ordering corresponds to increasing sequency. Gibbs in the 1970 report "Discrete Complex Walsh Sequences" developes a complex Walsh basis (each basis vector is a complex orthogonal CDMA code) from the real Walsh with the property that similar to the DFT the real part is an even function and the imaginary part is an odd function and takes the values $\{1,j,-1,-j\}$ where $j=\sqrt{(-1)}$. Ohnsorg et. al. in the 1970 report "Application of Walsh Functions to Complex Signals" developed a complex Walsh basis from the real Walsh by generating a complex binary matrix from the Hadamard representation with values {1,j.-1.j} and combining the scaled sum and differences of this matrix to form a complex Walsh matrix of basic vectors which gives this matrix the real even and imaginary odd properties of the DFT. These complex Walsh bases have had no apparent value in signal processing since they were not derived as an isomorphic mapping from the DFT and therefore do not exhibit any of the DFT performance advantages over the real Walsh and moreover do not have simple and fast algorithms for coding and decoding and as a result they have not been used for CDMA communications.

5

10

15

20

25

30

Golay-Hadamard sequences have been developed for CDMA which can be generated as complex sequences with values {1,j,-1,-j} and with a quasi-orthogonality property between basis vectors or codes. "Golay Sequences for DS (direct sequence) CDMA Applications" by Seberry et. al. and posted on the internet develops the sequences and correlation properties. These sequences are used to reduce the autocorrelation and cross-correlation sidelobes of the Hadamard and Walsh codes. Given a NxN Hadamard matrix H or equivalently an NxN Walsh matrix W, an NxN diagonal matrix D can be constructed whose diagonal elements are a 2-phase (bipolar) or a 4-phase (quadri-phase) Golay

sequence of length N. For W the corresponding diagonal matrix is D which is a diagonal matrix of the same size as W and with diagonal elements consisting of a Golay sequence. With the proper selection of the Golay sequence, the sets of codes H*D and W*D codes have lower autocorrelation and cross-correlation sidelobes Ιt is observed that the than the H,W respectively. autocorrelation and cross-correlation sidelobes are comparable to those obtained using a real and complex PN overlays of the H, W.

10

15

20

25

30

35

5

Yang (US 6,674,712) combines the quaternary complex-valued Kerdock codes with the real Walsh codes to generate a set of quasi-orthogonal CDMA codes using the complex multiply operation 126 in FIG. 1C to combine the real Walsh codes 120, 121 with the complex Kerdock codes upon replacing the complex short PN codes 124, 125 with the Kerdock codes, adding a zero to the Kerdock codes of length (2^K-1) to make them 2^M chip codes and using real Walsh 2^M chip codes, to allow the phase addition of these codes in the complex multiply 126. Prior art represented by the paper by Hannon et. al. (IEEE Trans. Inform. Theory, vol. 40, pp. 301-319, 1994) and other prior publications derived the Kerdock codes with the permutation and construction algorithm in this patent. Unlike Yang, current CDMA art uses the same 2^M PN code all real Walsh channelization codes which keeps the desired property while providing the low orthogonality correlation sidelobe properties.

Li (US 6,389,138) uses the (2^42-1) bit long code generator output for the inphase component and a delayed output for the quadrature component to generate a complex long code for CDMA applications. This innovation applies a code principle previously used in IS-95A,B which observes that segments of code from a maximal-length shift register code generator are statistically independent when the segments are sufficiently separated. Real Walsh codes 120 in FIG. 1C are used for channelization codes for user traffic and communications

housekeeping functions that include pilot signals, control channels, supplemental channels, reverse channels, for both inphase and quadrature components of the complex signal 121. With Li's invention the real Walsh inphase and quadrature encoded signals 121 are complex encoded with Li's complex long code as described in FIG. 1C upon replacing the long PN code with Li's code and the real multiply 123 with the complex multiply 126.

in the vol. 27 November 1973 Archive Prior art Uebertragungsteckhik 10 paper "Aufbau Elektronik und Eigenschaften von quasiothogonalen Codekollektiven" and in the 1981 Lincoln Lab. report IFF-7 introduced the concept of covering the real Walsh encoded data with a real PN code in order to improve the correlation performance with time and frequency offsets. This concept was introduced well in advance of it's use 15 in the late 1980's introduction of CDMA (US 5,103,459) wherein the real Walsh encoded data is covered by a real PN code and which covering was later updated using a complex PN code depicted in 24,25,26 FIG. 1C and decovered in 41,42,43 FIG.3B.

20

5

SUMMARY OF THE INVENTION

25

The present invention provides a method and system for the generation and encoding and fast decoding of Hybrid Walsh orthogonal codes for use in CDMA communications as the orthogonal channelization codes to replace the real Walsh codes. Hybrid Walsh codes are complex Walsh codes that have an isomorphic one-to-one correspondence with the DFT (discrete Fourier transform) codes. Additionally, the encoding (covering) of the Hybrid Walsh complex code by a complex PN code is a novel idea introduced in this invention disclosure.

35

30

Hybrid Walsh codes are the closest possible approximation to the DFT with orthogonal code vectors taking the values $\{1+j, -1+j, -1-j, 1-j\}$ or equivalently the values $\{1, j, -1, -j\}$ when the axes are rotated and renormalized where $j=\sqrt{-1}$ and Hybrid Walsh codes offer performance improvements over real Walsh codes for CDMA communications. Hybrid Walsh codes are derived by separate lexicographic reordering permutations of real Walsh codes for the inphase (real) components and for the quadrature (imaginary) components and have simple implementations and fast encoding and decoding algorithms, where the lexicographic rule is to order the code vectors with increasing sequency. Suppression of the quadrature code components of the Hybrid Walsh codes gives the real Walsh codes along the inphase axis and suppression of the inphase code components of the Hybrid Walsh codes gives the real Walsh codes along the quadrature axis.

The invention discloses a means for the Hybrid Walsh encoder and decoder to be generalized by combining with DFT, Hadamard, and other codes using tensor product construction, direct sum construction. and functional combining. construction increases the choices for the code length by allowing the combined use of Hybrid Walsh with lengths 2^M and 4t where M and t are integers, with DFT complex orthogonal codes with lengths N where N is an integer, with Hadamard codes, and with quasi-orthogonal PN families of codes including segments of maximal-length shift register codes, Gold, Kasami, Golay, Kerdock, Preparate, Goethals, STC, and with other families of codes.

30

5

10

15

20

25

BRIEF DESCRIPTION OF THE DRAWINGS AND THE PERFORMANCE DATA

The above-mentioned and other features, objects, design algorithms, implementations, and performance advantages of the present invention will become more apparent from the detailed description set forth below when taken in conjunction with the drawings and performance data wherein like reference characters and numerals denote like elements, and in which:

10

5

FIG. 1A is a representative CDMA transmitter signal processing implementation block diagram with emphasis on the current real Walsh CDMA encoding and which contains the signal processing elements addressed by this invention disclosure.

15

- FIG. 1B is a schematic CDMA cellular network with the communications link between a base station and a user.
- FIG. 1C depicts the transmit CDMA encoding signal 20 processing implementation for the forward and reverse links between the base station and one of the users in the cellular network.
- FIG. 2 is a representative CDMA encoding signal processing implementation diagram with emphasis on the current real Walsh CDMA encoding and which contains the signal processing elements addressed by this invention disclosure.
- FIG. 3A is a representative CDMA receiver signal processing implementation block diagram with emphasis on the current real Walsh CDMA decoding and which contains the signal processing elements addressed by this invention disclosure.

FIG. **3B** depicts the receive CDMA decoding signal processing implementation for the forward and reverse links between the base station and one of the users in the cellular network.

5

FIG. 4 is a representative CDMA decoding signal processing implementation diagram with emphasis on the current real Walsh CDMA decoding and which contains the signal processing elements addressed by this invention disclosure.

10

FIG. **5** is a representative correlation plot of the correlation between the complex discrete Fourier transform (DFT) cosine and sine code vectors and the real Fourier transform cosine and sine code vectors.

15

FIG. **6A** is a representative CDMA encoding signal processing implementation diagram with emphasis on the Hybrid Walsh CDMA encoding which contains the signal processing elements addressed by this invention disclosure

20

FIG. **6B** defines the implementation algorithm of this invention disclosure for generating Hybrid Walsh codes from real Walsh.

25

invention disclosure for generating Hybrid Walsh codes from the even and odd real Walsh codes.

6C defines the implementation algorithm of this

30 the

the transmit CDMA encoding signal processing implementation for the cellular network using Hybrid Walsh codes in place of real Walsh codes for the forward and reverse links between the base station and user.

FIG. 6D is an embodiment of this invention disclosure for

FIG. 7A is a representative CDMA decoding signal processing implementation diagram with emphasis on the Hybrid Walsh CDMA decoding and which contains the signal processing elements addressed by this invention disclosure.

5

10

15

20

25

30

35

FIG. 7B is an embodimentof of this invention disclosure for the receive CDMA decoding signal processing implementation for the cellular network using Hybrid Walsh codes in place of real Walsh codes for the forward and reverse links between the base station and user.

DISCLOSURE OF THE INVENTION

The Hybrid Walsh complex orthogonal CDMA codes are derived from the current real Walsh codes by starting with the correspondence of the current real Walsh codes with the discrete (DFT) basis vectors. Consider the real Fourier transform orthogonal CDMA code space $R^{\rm N}$ consisting of N-orthogonal real code vectors. Examples of code sets in R^N include the Hadamard, Walsh, and Fourier. The corresponding matrices of code vectors are designated as H, W, F respectively and as defined in equation (3) respectively consist of N-rows of N-chip code vectors. Hadamard codes in their reordered form known as Walsh codes are used in the current CDMA, in the G3 CDMA, and in the proposals for all future CDMA. Walsh codes reorder the Hadamard codes according to increasing sequency which is the average rate of change of the sign of the codes. Hadamard 37 and Walsh 38 are used as code vectors for orthogonal CDMA channelization coding. Hadamard 37 and Walsh 38 equations of definition are widely known. Likewise, the Fourier 39 equations of definition are

widely known within the engineering and scientific communities, wherein

5 N-chip real orthogonal CDMA codes (3)

37 Hadamard codes

H = Hadamard NxN orthogonal code matrix consisting of N rows of N chip code vectors

= [H(u)] matrix of row vectors H(u)

= [H(u,n)] matrix of elements H(u,n)

H(u) = Hadamard code vector u

= [H(u,0), H(u,1), ..., H(u,N-1)]

= 1xN row vector of chips H(u,0),...,H(u,N-1)

15 H(u,n) = Hadamard code u chip n

= +/+1 possible values

$$= (-1) \quad \begin{bmatrix} i=M-1 \\ \sum_{i=0}^{n} u_i n_i \end{bmatrix}$$

where $u = \sum_{i=0}^{i=M-1} u_i 2^i$ binary representation of u

$$n = \sum_{i=0}^{i=M-1} n_i 2^i \quad \text{binary representation of n}$$

38 Walsh codes

10

20

25

W = Walsh NxN orthogonal code matrix consisting of N rows of N chip code vectors

= [W(u)] matrix of row vectors W(u)

= [W(u,n)] matrix of elements W(u,n)

W(u) =Walsh code vector u

= [W(u,0), W(u,1), ..., W(u,N-1)]

W(u,n) = Walsh code u chip n

= +/+1 possible values

30 =
$$(-1)^{n} \left[u_{M-1}^{n} n_{0} + \sum_{i=1}^{i=M-1} (u_{M-1-i} + u_{M-i}) n_{i} \right]$$

39 Fourier codes

F = Fourier NxN orthogonal code matrix consisting of
 N rows of N chip code vectors
= [F(u)] matrix of row vectors F(u)

$$=$$
 $\left[\frac{C}{S}\right]$

 $C = N/2+1 \times N \text{ matrix of row vectors } C(u)$

C(u) = Even code vectors for u=0,1,...,N/2

= $[1, \cos(2\pi u1/N),...,\cos(2\pi u(N-1/N))]$

 $S = N/2-1 \times N \text{ matrix of row vectors } S(u)$

S(Δu) = Odd code vectors for u=N/2+ Δu , Δu =1,2,...,N/2-1

= $[\sin(2\pi \Delta u 1/N),...,\sin(2\pi \Delta u(N-1)/N)]$

where
$$F(u) = C(u)$$
 for $u=0,1,...,N/2$
= $S(\Delta u)$ for $\Delta u = u-N/2$, $u=N/2+1,...,N-1$

15

10

5

and the cosine C(u) and sine $S(\Delta u)$ code vectors are the code vectors of the Fourier code matrix F.

The DFT (discrete Fourier transform) orthogonal codes are a complex basis for the complex N-dimensional CDMA code space C^N and consist of the DFT harmonic code vectors arranged in increasing order of frequency. Equations (4) are the definition of the DFT code vectors. The DFT definition 40 in equation (4) is widely known within the engineering and scientific communities. Even and odd components of the DFT code vectors 41 in equation (4) are the real cosine code vectors {C(u)} and the imaginary sine code vectors (S(u)) where even and odd are referenced to the midpoint of the code vectors. These cosine and sine code vectors in C^N are the extended set 2N of the Fourier cosine and sine code vectors in R^N.

```
N-chip DFT complex orthogonal CDMA codes
```

(4)

```
40 DFT code vectors
```

```
E = DFT NxN \text{ orthogonal code matrix consisting of}
N \text{ rows of N chip code vectors}
= [ E(u) ] \text{ matrix of row vectors } E(u)
= [ E(u,n) ] \text{ matrix of elements } E(u,n)
E(u) = DFT \text{ code vector } u
= [ E(u,0), E(u,1), ..., E(u,N-1) ]
= 1xN \text{ row vector of chips } E(u,0), ..., E(u,N-1)
E(u,n) = DFT \text{ code } u \text{ chip } n
= e^{j2\pi un/N}
= cos(2\pi un/N) + jsin(2\pi un/N)
= N \text{ possible values on the unit circle}
```

15

41 Even and odd code vectors are the extended set of Fourier even and odd code vectors in 39 equations (3_) C(u) = Even code vectors for u=0,1,...,N-1 $= [1, \cos(2\pi \ u \ 1/N),...,\cos(2\pi \ u \ (N-1)/N)]$ S(u) = Odd code vectors for u=0,1,...,N-1

20

 $= [0, \sin(2\pi u 1/N), ..., \sin(2\pi u (N-1)/N)]$ $= [0, \sin(2\pi u 1/N), ..., \sin(2\pi u (N-1)/N)]$ $E(u) = C(u) + j S(u) \qquad \text{for } u=0,1,...,N-1$

25

1. Hybrid Walsh Implementation Algorithm

Step 1 in the derivation of the implementation algorithm for the Hybrid Walsh codes in this invention establishes the correspondence of the even and odd Walsh codes with the even and odd Fourier codes. Even and odd for these codes are with respect to the midpoint of the row vectors similar to the definition for

the DFT vector codes 41 in equations (4). Equations (5) identify the even and odd Walsh codes in the W basis in $\mathbb{R}^{\mathbb{N}}$. These even and odd Walsh codes can be placed in

5

Even and odd Walsh codes in R^N (5)

 $W_e(u)$ = Even Walsh code vector

= W(2u) for u=0,1,...,N/2-1

 $W_o(u)$ = Odd Walsh code vectors

10 = W(2u-1) for u=1,...,N/2

direct correspondence with the Fourier code vectors 39 in equations (3) using the DFT equations (4). This correspondence is defined in equations (6) where the correspondence operator "~" represents the even and odd correspondence between the Walsh and Fourier codes, and additionally represents the sequency~frequency correspondence.

20

25

15

Correspondence between Walsh and Fourier codes (6)

 $W(0) \sim C(0)$

 $W_{e}(u) \sim C(u)$ for u=1,...,N/2-1

 $W_o(u) \sim S(u)$ for u=1,...,N/2-1

 $W(N-1) \sim C(N/2)$

Step 2 in the derivation of the implementation algorithm for the Hybrid Walsh codes derives the set of N complex DFT vector codes in C^N from the set of N real Fourier vector codes in R^N. This means that the set of 2N cosine and sine code vectors in 41 in equations (4) for the DFT codes in C^N will be derived from the set of N cosine and sine code vectors in 39 in equations (3) for the Fourier codes in R^N. The first N/2+1 code

vectors of the DFT basis can be written in terms of the Fourier code vectors in equations (7).

- 5 DFT code vectors 0,1,...,N/2 derived from Fourier (7)
 - 42 Fourier code vectors from 39 in equations (3) are
 - C(u) = Even code vectors for u=0,1,...,N/2
 - = $[1, \cos(2\pi u1/N), ..., \cos(2\pi u(N-1/N))]$
- S(u) = Odd code vectors for u=1,2,...,N/2-1
 - = $[\sin(2\pi u1/N),...,\sin(2\pi u(N-1)/N)]$
 - **43** DFT code vectors in **41** of equations (4) are written as functions of the Fourier code vectors
 - E(u) = DFT complex code vectors for u=0,1,...,N/2
 - = C(0) for u=0
 - = C(u) + jS(u) for u=1,...,N/2-1
 - $= C(N/2) \qquad \text{for } u=N/2$

20

25

15

The remaining set of N/2+1,...,N-1 DFT code vectors in C^N can be derived from the original set of Fourier code vectors by a correlation which establishes the mapping of the DFT codes onto the Fourier codes. We derive this mapping by correlating the real and imaginary components of the DFT code vectors with the corresponding even and odd components of the Fourier code vectors. The correlation operation is defined in equations (8):

- 30 Correlation of DFT and Fourier code vectors (8)
 - Corr(even) = C*Real{E'}
 - = Correlation matrix
 - = Matrix product of C and the real part
- of E conjugate transpose

Corr(odd) = S*Imag{E'}

- = Correlation matrix
- = Matrix product of S and the imaginary
 part of E conjugate transpose

5

10

where "*" is a matrix multiply operation. The correlation peaks of these correlation matrices are plotted in FIG.5 for N=32 for the real cosine and the odd sine Fourier code vectors. Plotted are the correlation peaks of the 2N DFT cosine and sine codes against the N Fourier cosine and sine codes which range from -15 to +16 where the negative indices of the codes represent a negative correlation value. These correlation curves prove that the remaining N/2+1,...,N-1 code vectors of the DFT are derived from the Fourier code vectors by equations (9)

DFT code vectors N/2+1,..., N-1 derived from Fourier (9)

20

15

$$E(u) = C(N/2 - \Delta u) - jS(N/2 - \Delta u)$$
for $u = N/2 + \Delta u$

$$\Delta u = 1, ..., N/2 - 1$$

25

30

This construction of the remaining DFT basis in equations (9) is an application of the DFT spectral foldover property which observes the DFT harmonic vectors for frequencies $fNT=N/2+\Delta u$ above the Nyquist sampling rate fNT=N/2 simply foldover such that the DFT harmonic vector for $fNT=N/2+\Delta u$ is the DFT basis vector for $fNT=N/2-\Delta u$ to within a fixed sign and fixed phase angle of rotation.

Step 3 is the final step in the derivation of the 35 implementation algorithm for the Hybrid Walsh codes and derives

the HybridWalsh code vectors from the real Walsh code vectors by using the DFT derivation in equations (7) and (9), by using the correspondences between the real Walsh and Fourier in equations (6), and by using the fundamental correspondence between the Hybrid Walsh and the complex DFT given in equation (10). We start by constructing the Hybrid Walsh

Correspondence between Hybrid Walsh and DFT (10)

10

5

 \widetilde{W} ~ E NxN complex DFT orthogonal code matrix where = NxN Hybrid Walsh orthogonal code matrix = N rows of N chip code vectors = [\widetilde{W} (u)] matrix of row vectors \widetilde{W} (u) = [\widetilde{W} (u,n)] matrix of elements \widetilde{W} (u,n) \widetilde{W} (u) = Hybrid Walsh code vector u = [\widetilde{W} (u,0), \widetilde{W} (u,1), ..., \widetilde{W} (u,N-1)] \widetilde{W} = +/-1 +/- j possible value

20

15

dc (0 frequency and 0 sequency) code vector \widetilde{W} (0). We use equation E(0)=C(0) in **43** in equations **(7)**, the correspondence in equations **(6)**, and observe that the dc Hybrid Walsh vector has both real and imaginary components in the \widetilde{W} domain, to derive the dc Hybrid Walsh code vector:

$$\widetilde{W}(0) = W(0) + jW(0)$$
 for u=0 (11)

30

25

For Hybrid Walsh code vectors $\widetilde{W}(u)$, u=1,2,...,N/2-1, we apply the correspondences in equations (10) between the Hybrid Walsh and

DFT bases and the correspondence in equation (6) to the DFT equations 43 in equations (7):

5
$$\widetilde{W}(u) = W_e(u) + jW_o(u)$$
 for $u=1,2,...,N/2-1$ (12)
= $W(2u) + jW(2u-1)$ for $u=1,2,...,N/2-1$

For Hybrid Walsh code vector $\widetilde{W}(N/2)$ we use the equation 10 E(N/2)=C(N/2) 43 in equations (7) and the same rationale used to derive equation (11), to yield the equation for $\widetilde{W}(N/2)$.

15

$$\widetilde{W}(N/2) = W(N-1) + jW(N-1)$$
 for u=N/2 (13)

For Hybrid Walsh code vectors $\widetilde{W}(N/2+\Delta u)$, $\Delta u=1,2,...,N/2-1$ we apply the correspondences between the Hybrid Walsh and DFT bases to the spectral foldover equation $E(N/2+\Delta u)=C(N/2-\Delta u)-jS(N/2-\Delta u)$ in equation (9) with the changes in indexing required to account for the W indexing in equations (5). The equation is

25
$$\widetilde{W}(N/2 + \Delta u) = W(N-1-\Delta eu) + W(N-1-\Delta ou)$$
 for $u=N/2+1,...,N-1$ (14)
= $W(N-1-2\Delta u) + jW(N-2\Delta u)$ for $u=N/2+1,...,N-1$

using the notation $\Delta ei=2\Delta i$, $\Delta oi=2\Delta i-1$. These Hybrid Walsh code vectors in equations (11), (12), (13), (14) are the equations of definition for the Hybrid Walsh code vectors.

An equivalent way to derive the Hybrid Walsh code vectors in C^N from the real Walsh basis in R^{2N} is to use a sampling technique which is a known method for deriving a complex DFT basis in C^N from a Fourier real basis in R^N as demonstrated in FIG. 5.

5

10

- FIG. **6B** and **6C** summarize the Hybrid Walsh implementation algorithms derived in Steps 1,2,3 for implementation as lexiocographic reordering permutations of the real Walsh code vectors and lexicographic reordering permutations of the even and odd Walsh code vectors, with the reordering lexicographically arranged with increasing sequency in agreement with the correspondence "sequency ~ frequency" for "Hybrid Walsh ~ DFT".
- 15 FIG. **6B** summarizes equations **(5)**, **(11)**, **(12)**, **(13,(14)** which define the real (inphase) **168** and imaginary (quadrature) **169** reordering permutations for implementation of the Hybrid Walsh. The inphase reordering permutation **168** in FIG. **6B** is implemented as an address change of the row vectors in W to correspond to the row vectors in \underline{W}_R in lexicographic ordering with increasing sequency **167**. Likewise, the quadrature reordering permutation **169** is implemented as an address change of the row vectors in W to correspond to the row vectors in \underline{W}_I in lexicographic ordering with increasing sequency **167**. These reordering permutations define the Hybrid Walsh $\widetilde{W} = W_P + j W_T$.
 - FIG. **6C** reorganizes the implementation algorithm for the Hybrid Walsh in FIG. **6B** as lexicographic reordering permutations of the even and odd real Walsh code vectors defined in equations (5),(11),(12),(13,(14)). The real (inphase) and quadrature reordering permutations 171,172 are address changes of the even,odd real Walsh vectors with increasing sequency 170. These reordering permutations define the Hybrid Walsh $\widetilde{W} = W_R + j W_T$.

2. Hybrid Walsh Implementation

5

Transmitter equations (15) describe a representative Hybrid Walsh CDMA encoding implementation algorithm for the transmitter in FIG. 1A upon replacing the real Walsh with the Hybrid Walsh, and for the cellular network in FIG. 1B and transmitter FIG. 6D, 10 and for the encoding implementation in FIG. 6A. It is assumed that there are N Hybrid Walsh code vectors $\widetilde{W}(u)$ 44 length N chips similar to the definitions for the real Walsh code 1 in equations (1). The code vector is presented by a $\widetilde{W}(u) = [\widetilde{W}(u,0), \ldots, \widetilde{W}(u,N-1)]$ N-chip row vector 15 The code vectors are the row $\widetilde{W}(u,n)$ is chip n of code u. vectors of the Hybrid Walsh matrix \widetilde{W} . Hybrid Walsh code chip n $\widetilde{W}(u,n) = +/-1 +/-1$. of code vector u has the possible values Each user is assigned a unique Walsh code which allows the code vectors to be designated by the user symbols u=0,1,...,N-1 for N 20 Hybrid Walsh codes. The Hybrid Walsh code vectors $\widetilde{W}(u)$ derived in equations (11), (12), (13), (14) and equivalently in FIG. 6B,6C are 44 in terms of their real and imaginary component summarized code vectors $\widetilde{W}(u) = W_R(u) + jW_T(u)$ where $W_R(u)$ and $W_T(u)$ respectively the real and imaginary component code vectors. 25 per the derivation of $\widetilde{W}(u)$ the sets of real axis code vectors $\{W_R(u)\}\$ and the imaginary axis code vectors $\{W_I(u)\}\$ both consist of the real Walsh code vectors in RN with the ordering modified to ensure that the definition of the Hybrid Walsh vectors 30 satisfies equations (11), (12), (13), (14).

Hybrid Walsh CDMA encoding for transmitter (15)

44 Hybrid Walsh codes use the definitions for the real Walsh codes in 1 equations (1) and the definitions of the Hybrid Walsh codes in equations (11), (12), (13), (14) and in FIG. 6B,6C. We find

 \widetilde{W} = Hybrid Walsh NxN orthogonal code matrix consisting of N rows of N chip code vectors $= [\ \widetilde{W}\ (\mathbf{u})\] \ \mathrm{matrix}\ \mathrm{of}\ \mathrm{row}\ \mathrm{vectors}\ \widetilde{W}\ (\mathbf{u})$ $= [\ \widetilde{W}\ (\mathbf{u},\mathbf{n})\] \ \mathrm{matrix}\ \mathrm{of}\ \mathrm{elements}\ \widetilde{W}\ (\mathbf{u},\mathbf{n})$

 \widetilde{W} (u) = Hybrid Walsh code vector u = $W_R(u) + jW_I(u)$ for u=0,1,...,N-1

15 where

5

20

25

$$W_{R}(u) = \text{Real} \{ \widetilde{W}(u) \}$$

$$= W(0) \qquad \text{for } u=0$$

$$= W(2u) \qquad \text{for } u=1,2,...,N/2-1$$

$$= W(N-1) \qquad \text{for } u=N/2$$

$$= W(2N-2u-1) \qquad \text{for } u=N/2+1,...,N-1$$
 $W_{I}(u) = \text{Imag} \{ \widetilde{W}(u) \}$

 $W_{1}(u) = Imag\{ \widetilde{W}(u) \}$ $= W(0) \qquad \text{for } u=0$ $= W(2u-1) \qquad \text{for } u=1,2,...,N/2-1$ $= W(N-1) \qquad \text{for } u=N/2$

= W(2N-2u) for u=N/2+1,...,N-1

 \widetilde{W} (u,n) = Hybrid Walsh code u chip n = +/-1 +/-j possible values

45 Data symbols

30 Z(u) = Complex data symbol for user u= R(u) + jI(u)

46 Hybrid Walsh encoded data

$$\begin{split} Z(u,n) &= Z(u) \ \widetilde{W}(u,n) \\ &= Z(u) \left[sign\{W_R(u,n)\} + j sign\{W_I(u,n)\} \right] \\ &= \left[R(u) sign\{W_R(u,n)\} - I(u) sign\{W_I(u,n)\} \right] \\ &+ j \left[R(u) sign\{W_I(u,n) + I(u,n) sign\{W_R(u,n)\} \right] \end{split}$$

47 PN scrambling

 $P_2(n)$ = Chip n of the long PN code

 $P_R(n)$ = Chip n of the short PN code for the real axis

 $P_{I}(n)$ = Chip n of the short PN code for the imaginary axis

Z(n) = PN scrambled Hybrid Walsh encoded data chips after summing over the users

$$= \sum_{u} Z(u,n) P_{2}(n) [P_{R}(n) + j P_{I}(n)]$$

15

5

10

$$\sum_{n} Z(u,n) \operatorname{sign} \{P_2(n)\} [\operatorname{sign} \{P_R(n)\} + \operatorname{j sign} \{P_I(n)\}]$$

- = Hybrid Walsh CDMA encoded chips
- User data symbols 45 are the set of complex symbols $\{Z(u), u=0,1...,N-1\}$. These data symbols are encoded by the Hybrid Walsh CDMA codes 46. Each of the user symbols Z(u) is assigned a unique Hybrid Walsh code $\widetilde{W}(u)=W_R(u)+jW_I(u)$. Hybrid Walsh encoding of each user data symbol generates an N-chip sequence with each chip in the sequence consisting of the user data symbol with the complex sign of the corresponding Hybrid Walsh code chip, which means each encoded chip = [Data symbol Z(u)] x [Sign of $W_R(u)$ + j sign of $W_I(u)$].

30 The Hybrid Walsh encoded data symbols are summed and encoded with PN scrambling codes 47. These long and short PN codes are defined 4 in equations (1). Each Hybrid Walsh encoded data chip Z(u,n) 46 is summed over the set of users u=0,1,...,N-

1 and PN encoded to yield the Hybrid Walsh CDMA chips $Z(n) = \sum_{u} Z(u,n) P_2(n) [P_R(n) + j P_I(n)]$ 47.

Although not considered in this example, it is possible to use combinations of both complex and real data symbols similar to the approach for real Walsh CDMA encoding in equations (1) since the Hybrid Walsh code vectors are a reordering of the real Walsh code vectors along the real axis and a reordering of the real Walsh code vectors along the imaginary axis.

10

15

20

5

Receiver equations (16) describe a representative Hybrid Walsh CDMA decoding implementation algorithm for the receiver in FIG. 3A upon replacing the real Walsh with the Hybrid Walsh, for the cellular network in FIG. 1B and receiver FIG. 7B, for the decoding implementation in FIG. 7A. $\{\hat{Z}(n)\}$ of the transmitted provides estimates front end 48 Hybrid Walsh CDMA encoded chips {Z(n)} for the complex data symbols {Z(u)}. Orthogonality property 49 is expressed as a matrix product of the Hybrid Walsh code chips or equivalently as a matrix product of the Hybrid Walsh code chip numerical signs of the real and imaginary components. Decoding algorithms perform the inverse of the signal processing for the encoding in equations (15) to recover estimates $\{\hat{Z}(u)\}$ of the transmitter user symbols $\{Z(n)\}\$ for the complex data symbols $\{Z(u)\}\$.

25

30

Hybrid Walsh CDMA decoding for receiver (16)

- 48 Receiver front end in FIG. 3A provides estimates $\{\hat{Z}(n)\}$ 28 of the encoded transmitter chip symbols $\{Z(n)\}$ 47 in equations (15).
 - **49** Orthogoality property of Hybrid Walsh NxN matrix \widetilde{W}

$$\sum_{n} \widetilde{\mathbb{W}}(\hat{\mathbf{u}}, \mathbf{n}) \ \widetilde{\mathbb{W}}'(\mathbf{n}, \mathbf{u}) = \\ \sum_{n} \left[\operatorname{sign}\{\mathbb{W}_{R}(\hat{\mathbf{u}}, \mathbf{n})\} + \operatorname{jsign}\{\mathbb{W}_{L}(\hat{\mathbf{u}}, \mathbf{n})\} \right] \left[\operatorname{sign}\{\mathbb{W}_{R}(\mathbf{n}, \mathbf{u}) - \operatorname{jsign}\{\mathbb{W}_{L}(\mathbf{n}, \mathbf{u})\} \right] \\ = 2\mathbb{N} \ \delta(\hat{\mathbf{u}}, \mathbf{u}) \\ = 2\mathbb{N} \ \delta(\hat{\mathbf{u}}, \mathbf{u}) \\ \text{where } \delta(\hat{\mathbf{u}}, \mathbf{u}) = \operatorname{Delta} \ \operatorname{function} \ \operatorname{of} \ \hat{\mathbf{u}} \ \operatorname{and} \ \mathbf{u} \\ = 1 \quad \operatorname{for} \ \hat{\mathbf{u}} = \mathbf{u} \\ = 0 \quad \operatorname{otherwise} \\ \widetilde{\mathbf{w}}' = \operatorname{conjugate} \ \operatorname{transpose} \ \operatorname{of} \ \widetilde{\mathbf{w}} \\ \\ 10 \quad \mathbf{50} \quad \operatorname{PN} \ \operatorname{decoding} \ \operatorname{property} \\ P(\mathbf{n}) P(\mathbf{n}) = \operatorname{sign}\{P(\mathbf{n})\} \ \operatorname{sign}\{P(\mathbf{n})\} \\ = 1 \\ \operatorname{for} \ P = P_2, \ P_R, \ P_1 \\ \\ 15 \quad \mathbf{51} \ \operatorname{Decoding} \ \operatorname{algorithm} \\ \widehat{\mathbf{Z}}(\mathbf{u}) = \\ 2^{-1} N^{-1} \sum_{n} \widehat{\mathbf{Z}}(n) \operatorname{sign}\{P_2(\mathbf{n})\} \left[\operatorname{sign}\{P_R(\mathbf{n})\} - \operatorname{j} \operatorname{sign}\{P_1(\mathbf{n})\} \right]^* \\ \left\{ \operatorname{sign}\{\mathbb{W}_R(\mathbf{n}, \mathbf{u})\} - \operatorname{j} \operatorname{sign}\{\mathbb{W}_R(\mathbf{n}, \mathbf{u})\} \right\} \\ = \operatorname{Receiver} \ \operatorname{estimate} \ \operatorname{of} \ \operatorname{the} \ \operatorname{transmitted} \ \operatorname{data} \ \operatorname{symbol} \\ \mathbf{Z}(\mathbf{u}) \quad \mathbf{45} \quad \operatorname{in} \ \operatorname{equations} \ \mathbf{(15)} \\ \\ \end{aligned}$$

Although not considered in this example, it is possible to use combinations of both complex and real data symbols similar to the approach for real Walsh CDMA decoding in FIG. 4 since the Hybrid Walsh code vectors are the real Walsh code vectors along the real axis and a reordering of the real Walsh code vectors along the imaginary axis.

where "*" denotes a multiply

6A Hybrid Walsh CDMA encoding is a representative implementation of the Hybrid Walsh CDMA encoding which will replace the current real Walsh encoding 13 in FIG. 1A and in the cellular network transmitter implementation 120,121 in FIG.1C, and is defined in equations (15). Inputs are the user data {Z(u)} 52. Encoding of each user by the corresponding Hybrid Walsh code is described in 53 by the implementation of transferring the sign +/-1+/-j of each Hybrid Walsh code chip to the user data symbol followed by a 1-to-N expander 1 N of each data symbol into an N chip sequence using the sign transfer of sign-expander operation 53 Hybrid Walsh chips. The generates the N-chip sequence

Z(u,n)=Z(u) [sign{W_R(u,n)}+jsign{W_I(u,n)}] = Z(u) [W_R(u,n)+jW_I(u,n)] for n=0,1,...,N-1 for each user u=0,1,...,N-1. This Hybrid Walsh encoding serves to spread each user data symbol into an orthogonally encoded chip sequence which is spread over the CDMA communications frequency band. The Hybrid Walsh encoded chip sequences for each of the user data symbols are summed over the users **54** followed by PN encoding with the scrambling sequence $P_2(n)[P_R(n)-jP_I(n)]$ **55.** PN encoding is implemented by transferring the sign of each PN chip to the summed chip of the Hybrid Walsh encoded data symbols. Output is the stream of complex CDMA encoded chips {Z(n)} **56.**

25

30

5

10

15

20

FIG. **6D** is the upgrade to the cellular network transmit CDMA encoding in FIG. **1C** using the Hybrid Walsh channelization codes in place of the real Walsh codes. FIG. **6D** depicts a representative embodiment of the transmitter signal processing for the forward and reverse CDMA links **106** in FIG. **1B** between the base station and the user for CDMA2000 and W-CDMA. Similar to FIG. **1C** the data inputs are the inphase data symbols R **173** and quadrature data symbols I **174**. Inphase **175** Hybrid Walsh codes \underline{W}_{I} are implemented in FIG. **6B 167,168** and equivalently in FIG. **6C**

170,171. Quadrature 176 Hybrid Walsh codes \underline{W}_{I} are implemented in FIG. 6B 167,169 and equivalently in FIG. 6C 170,172. A complex multiply 177 encodes the data symbols with the Hybrid Walsh \widetilde{W} codes in the encoder using the inphase (real) \underline{W}_{R} and quadrature (imaginary) \underline{W}_{I} code components of $\widetilde{W} = \underline{W}_{\text{R}} + \mathbf{j} \underline{W}_{\text{I}}$ to generate a rate R=N set of Hybrid Walsh encoded data chips for each inphase and quadrature data symbol. Following the Hybrid Walsh encoding the transmit signal processing in 178-to-189 is identical to the corresponding transmit signal processing in 122-to-133 in FIG. 1C.

5

10

15

20

25

30

FIG. 6D depicts an embodiment of the upgrade to the current CDMA transmitter art using the Hybrid Walsh codes in place of the real Walsh codes and with current art signal processing changes this figure is representative of the use of Hybrid Walsh codes in place of the real Walsh codes for other current art CDMA receiver embodiments of this invention disclosure. Other embodiments of the CDMA transmitter include changes in the ordering of the signal processing, single channel versus multi-channel Hybrid Walsh encoding, summation or combining of the Hybrid Walsh channels by summation over like chip symbols, analog versus digital signal representation, baseband versus IF frequency CDMA processing, the order and placement in the signal processing thread of the Σ , LPF, and D/A signal processing operations, and the up-conversion processing. The order of the rate R=1 PN code changed since the covering multiplies in FIG. **6D** can be operations implemented by the multiplies are linear in phase, which means the short code complex multiply 180,181,182 in FIG. 6D can occur prior to the long code multiply 178,179 and moreover the long code can be complex with the real multiply 179 replaced by the equivalent complex multiply 182.

Although not considered in these examples, it is possible to use combinations of both complex and real data symbols similar

to the approach for real Walsh CDMA encoding in FIG. 2 since the Hybrid Walsh code vectors are the reordered real Walsh code vectors along the real axis and a reordering of the real Walsh code vectors along the imaginary axis.

5

10

15

20

25

It should be obvious to anyone skilled in the communications art that the example implementations in FIG. 6A,6D clearly define the fundamental CDMA signal processing relevant to this invention disclosure and it is obvious that these examples are representative of the other possible signal processing approaches.

FIG. **7A** Hybrid Walsh CDMA decoding is a representative implementation of CDMA complex channelization decoding which will replace the current real Walsh decoding **27** in FIG. **3A**, and is defined in equations **(16)**. Inputs are the received estimates of the complex CDMA encoded chips $\{\hat{Z}(n)\}$ **57**. The PN codes are stripped off from these chips **58** by changing the sign of each chip according to the numerical sign of the real and imaginary components of the complex conjugate of the PN code as per the decoding algorithm **51** in equations **(16)**.

The Hybrid Walsh channelization coding is removed by a pulse compression operation consisting of multiplying each received chip by the numerical sign of the corresponding Hybrid Walsh chip for the user and summing the products over the N Walsh chips $\mathbf{59}$ to recover estimates $\{\hat{Z}(u)\}$ of the user complex data symbols $\{Z(u)\}$.

30 FIG. **7B** is the upgrade to the cellular network receive CDMA decoding in FIG. **3B** using the Hybrid Walsh complex channelization codes in place of the real Walsh codes. FIG. **7B** depicts a representative embodiment of the receiver signal processing for the forward and reverse CDMA links **106** in FIG. **1B**

between the base station and the user for CDMA2000 and W-CDMA that implements the CDMA decoding for the decovering by the long code and the short complex codes followed by the Hybrid Walsh decoding to recover estimates of the transmitted inphase (real) data symbols R 173 and quadrature (imaginary) data symbols I 174 in FIG. 6D. Depicted are the principal signal processing that is relevant to this invention disclosure. Similar to FIG. 3B the 190 is the received estimate of the signal input $\hat{\mathbf{v}}(t)$ transmitted CDMA signal v(t) 189 in FIG. 6D. The receive signal recovery in 191-to-201 is identical to the corresponding receive signal processing in 135-to-145 in FIG. 3B. The decovered chip symbols are rate R=1/N decoded by the Hybrid Walsh complex decoder 204 using the complex conjugate of the Hybrid Walsh code structured as the inphase Hybrid Walsh code W_{R} 202 and the negative of the quadrature Hybrid Walsh code (-) $W_{\rm I}$ 203 to implement the complex conjugate of the Hybrid Walsh code in the complex multiply and decoding operations. Decoded output symbols are the inphase data symbol estimates \hat{R} 205 and the quadrature data symbol estimates Î 206.

20

25

30

5

10

15

FIG. 7B depicts an embodiment of the upgrade to the current CDMA receiver art using the Hybrid Walsh code in place of the real Walsh code and with current art signal processing changes this figure is representative of the use of Hybrid Walsh codes in place of the real Walsh codes for other current art CDMA receiver embodiments of this invention disclosure. Other embodiments of the CDMA receiver include changes in the ordering of the signal processing, analog versus digital signal representation, downconversion processing, baseband versus ΙF frequenncy CDMA the order and placement in the signal processing processing, thread of the Σ , LPF, and A/D signal processing operations, and single channel versus multi-channel Hybrid Walsh decoding, The order of the rate R=1 PN code multiplies in FIG. 7B which perform the code decovering can be changed since the covering

operations implemented by the multiplies are linear in phase, which means the short code complex multiply 197,198,199 can occur after to the long code multiply 200,201 and moreover the long code can be complex with the real multiply 201 replaced by the equivalent complex multiply 199.

Although not considered in these examples, it is possible to use combinations of both complex and real data symbols similar to the approach for real Walsh CDMA decoding in FIG. 4 since the Hybrid Walsh code vectors are the real Walsh code vectors along the real axis and a reordering of the real Walsh code vectors along the imaginary axis.

skilled anyone in the obvious to It. should be example implementations communications art that these 15 define the fundamental CDMA signal processing **7A,7B** clearly relevant to this invention disclosure and it is obvious that this example is representative of the other possible signal processing approaches.

20

25

5

10

For cellular applications the transmitter description describes the transmission signal processing applicable to this invention for both the hub and user terminals, and the receiver describes the corresponding receiving signal processing for the hub and user terminals for applicability to this invention.

3. Generalized Hybrid Walsh Codes using Tensor Product, Direct Sum, and Functional Combining

5

10

Generalized Hybrid Walsh codes enable the power of 2 code lengths $N=2^{M}$ where M is an integer for Hybrid Walsh to be modified to allow the code length N to be a product of powers of primes 60 in equations (17) or a sum of powers of primes 61 in equations (17), at the implementation cost of introducing multiply operations into the CDMA encoding and decoding. In the previous disclosure of this invention we used N equal to a power of 2 which means $N=2^{M}$ corresponding to prime $p_0=2$ and $M=m_0$. This restriction was made for convenience in explaining the construction of the Hybrid Walsh and is not required since it is well known that Hadamard matrices exist for non-integer powers of 2 and, therefore, Hybrid Walsh matrices exist for non-integer powers of 2.

20

25

15

Length N of generalized Hybrid Walsh orthogonal codes (17)

60 Tensor product code construction

$$N = \prod_{k} p_k^{m_k}$$

$$= \prod_k N_k$$

where

 p_k = prime number indexed by k starting with k=0

 m_k = order of the prime number p_k

 N_k = Length of code for the prime p_k

$$= p_k^{m_k}$$

61 Direct sum code construction

$$N = \sum_{k} p_k^{m_k}$$
$$= \sum_{k} N_k$$

5

10

15

20

25

30

Add-only arithmetic operations are required for encoding and decoding both real Walsh and Hybrid Walsh CDMA codes since the real Walsh values are +/-1 and the Hybrid Walsh values are $\{+/-1 +/-j\}$ or equivalently are $\{1,j,-1,-j\}$ under a -90 degree rotation and normalization which means the only operations are sign transfer and adds plus subtracts or add-only. operations are more complex to implement than add operations. the advantages of having greater flexibility in choosing the orthogonal CDMA code lengths N using equations (17) can offset the expense of multiply operations for particular applications. Accordingly, this invention includes the concept of generalized Hybrid Walsh orthogonal CDMA codes with the flexibility to meet these needs. This extended class of Hybrid Walsh codes supplement the Hybrid Walsh codes by combining with Hadamard (or real Walsh), DFT, and other orthogonal codes as well as with PN by relaxing the orthogonality property to quasiorthogonality.

Generalized Hybrid Walsh orthogonal CDMA codes can be constructed as demonstrated in 64 and 65 in equations (18) for the tensor product, and in 66 in equations (18) for the direct sum, and in 67 for functional combining. Code matrices considered for orthogonal CDMA codes are 62 in equations (18) for the construction of the generalized Hybrid Walsh are the DFT E and Hadamard H, in addition to the Hybrid Walsh \widetilde{W} . The algorithms and examples for the construction start with the definitions 63 of the NxN orthogonal code matrices \widetilde{W}_N , \mathbf{E}_N , \mathbf{H}_N for \widetilde{W} , \mathbf{E}_N , H respectively, examples for low orders $\mathbf{N}=2$, 4, and the

equivalence of E_4 and \widetilde{W}_4 after the \widetilde{W}_4 is rotated through the -90 degrees and rescaled. The CDMA current and developing standards use the prime 2 which generates a code length $N=2^M$ where M=integer. For applications requiring greater flexibility in code length N, additional primes can be used using the tensor construction. We illustrate this in the addition of prime=3. The use of prime=3 in addition to the prime=2 in the range of N=8 to 64 is observed to increase the number of N choices from 4 to 9 at a modest cost penality of using multiples of the angle increment 30 degrees for prime=3 in addition to the angle increment 90 degrees for prime=2. As noted in 65 there are several choices in the ordering of the tensor product construction and 2 of these choices are used in the construction. In general, different orderings of the tensor product yield different sets of orthogonal codes.

Direct sum construction provides greater flexibility in the choice of N without necessarily introducing a multiply penality. However, the addition of the zero matrix in the construction is generally not desirable for CDMA communications. A functional combining in 67 in equation (18) removes these zero matrices at the cost of relaxing the orthogonality property to quasi-orthogonality.

25

30

5

10

15

20

Construction of generalized Hybrid Walsh orthogonal and quasi-orthogonal codes (18)

62 Code matrices for orthogonal codes

 $\widetilde{W}_{\scriptscriptstyle N}$ = NxN Hybrid Walsh orthogonal code matrix

 E_N = NxN DFT orthogonal code matrix

 H_N = NxN Hadamard orthogonal code matrix

63 Low-order orthogonal code definitions and equivalences

$$2 \times 2 \qquad H_2 \qquad = \left[\begin{array}{cc} 1 & 1 \\ 1 & -1 \end{array} \right]$$

5 =
$$\mathbf{E}_2$$

= $(e^{-j\pi/4} / \sqrt{2}) \star \widetilde{W}_2$

$$\widetilde{W}_{4} = \begin{bmatrix} 1+j & 1+j & 1+j & 1+j \\ 1+j & -1+j & -1-j & 1-j \\ 1+j & -1-j & 1+j & -1-j \\ 1+j & 1-j & -1-j & -1+j \end{bmatrix}$$

$$E_{4} = \begin{bmatrix} 1 & 1 & 1 & 1 \\ 1 & j & -1 & -j \\ 1 & -1 & 1 & -1 \\ 1 & -j & -1 & j \end{bmatrix}$$

$$= (e^{-j\pi/4}/\sqrt{2}) \widetilde{W}_4$$

64 Tensor product construction for $N = \prod_{k} N_{k}$

Code matrix $C_N = N \times N$ generalized Hybrid Walsh CDMA orthogonal code matrix using the tensor product construction of C_N

$$C_N = C_0 \prod_{k>0} \otimes C_{N_k}$$

Tensor product definition

- 10 $A = N_a x N_a$ orthogonal code matrix
 - $B = N_b x N_b orthogonal code matrix$
 - $A \otimes B$ = Tensor product of matrix A and matrix B
 - = $N_a N_b \times N_a N_b$ orthogonal code matrix consisting of the elements $[a_{ik}]$ of matrix A multiplied by the matrix B
 - $= [a_{ik} B]$

5

15

20

65 Generalized Hybrid Walsh orthogonal code matrix tensor product construction examples for primes p=2,3 and the range of sizes $8 \le N \le 64$

$$8x8$$
 $C_8 = \widetilde{W}_8$

$$12 \times 12 \quad C_{12} \quad = \widetilde{W}_4 \otimes E_3$$

$$C_{12} = E_3 \otimes \widetilde{W}_4$$

$$16x16 \quad C_{16} = \widetilde{W}_{16}$$

18x18
$$C_{18} = \widetilde{W}_2 \otimes E_3 \otimes E_3$$

$$C_{18} = E_3 \otimes E_3 \otimes \widetilde{W}_2$$

24x24
$$C_{24} = \widetilde{W}_8 \otimes E_3$$

$$C_{24} = E_3 \otimes \widetilde{W}_8$$

$$32x32 \quad C_{32} = \widetilde{W}_{32}$$

36x36
$$C_{36} = \widetilde{W}_4 \otimes \widetilde{W}_3 \otimes \widetilde{W}_3$$

$$C_{36} = \widetilde{W}_3 \otimes \widetilde{W}_3 \otimes \widetilde{W}_4$$

$$48 \times 48 \quad C_{48} = \widetilde{W}_{16} \otimes \widetilde{W}_{3}$$

$$C_{48} = \widetilde{W}_{3} \otimes \widetilde{W}_{16}$$

$$64 \times 64$$
 $C_{64} = \widetilde{W}_{64}$

66 Generalized Hybrid Walsh orthogonal code $\text{matrices using direct sum construction for} \quad \mathbf{N} = \sum_k N_k$

Code matrix $C_N=N \times N$ generalized Hybrid Walsh orthogonal Walsh CDMA code matrix with the direct sum construction of C_N

$$C_{N} = C_{0} \prod_{k>0} \bigoplus C_{N_{k}}$$

Direct sum definition

 $A = N_a x N_a$ orthogonal code matrix

 $B = N_b x N_b orthogonal code matrix$

 $A \oplus B$ = Direct sum of matrix A and matrix B

= $N_a+N_b \times N_a+N_b$ orthogonal code matrix

$$= \begin{bmatrix} A & O_{N_a x N_b} \\ O_{N_a x N_b} & B \end{bmatrix}$$

10

15

20

5

where $O_{N,xN_2} = N_1 x N_1$ zero matrix

67 Generalized Hyhrid Walsh quasi-orthogonal code matrices using functional combining with direct sum construction for $N = \sum_{k} N_k$

Code matrix $C_N = NxN$ generalized Hybrid Walsh quasi-orthogonal Walsh CDMA code matrix using functional combing with direct sum construction of C_N

$$C_N = f(C_0 \prod_{k>0} \bigoplus C_{N_k}, C_P)$$

25

wherein

Cp = NxN pseudo-orthogonal complex code matrix
 whose row code vectors are independent
 strips of PN codes for the real and
 imaginary components

5

10

It should be obvious to anyone skilled in the communications art that these example implementations of the generalized Hybrid Walsh in equations (18) clearly define the fundamental CDMA signal processing relevant to this invention disclosure and it is obvious that this example is representative of the other possible signal processing approaches. For example, the tensor product matrices E_N and H_N can be replaced by functionals.

15 For cellular applications the transmitter description which includes equations (18) describes the transmission signal processing applicable to this invention for both the hub and user terminals in FIG. 1B, and the receiver corresponding to the decoding of equations (18) describes the corresponding receiving signal processing for the hub and user terminals in FIG. 1B for applicability to this invention.

It is well known that fast and efficient encoding and decoding algorithms exist for the real Walsh CDMA codes. It is obvious that with suitable modifications these algorithms can be used to develop fast and efficient encoding and decoding algorithms for the Hybrid Walsh CDMA codes since these complex codes have real and imaginary code vectors which are from the same set of real Walsh CDMA codes.

30

35

25

It is well known that the tensor product construction involving DFT, H, W orthogonal code vectors have efficient encoding and decoding algorithms. It is obvious that with suitable modifications these algorithms can be used to develop fast and efficient encoding and decoding algorithms for the

tensor products of DFT, H. W, \widetilde{W} CDMA codes since Hybrid Walsh codes have real and imaginary code vectors which are from the same set of real Walsh CDMA codes. It is obvious that fast and efficient encoding and decoding algorithms exist for direct sum construction and functional combining.

Preferred embodiments in the previous description is provided to enable any person skilled in the art to make or use the present invention. The various modifications to these embodiments will be readily apparent to those skilled in the art, and the generic principles defined herein may be applied to other embodiments without the use of the inventive faculty. Thus, the present invention is not intended to be limited to the embodiments shown herein but is not to be accorded the wider scope consistent with the principles and novel features disclosed herein.